

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/880,693	06/13/2001	Rangaswamy	823.0058USU

CONFIRMATION NO. 4299

FORMALITIES LETTER



OC000000006410181

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Date Mailed: 08/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/15/2001 BABRAHA1 00000047 010467 09880693

FILED UNDER 37 CFR 1.53(b)

01 FC:201	370.00 OP
02 FC:203	18.00 OP
03 FC:202	42.00 OP
04 FC:205	17.00 CH 48.00 OP

Filing Date Granted

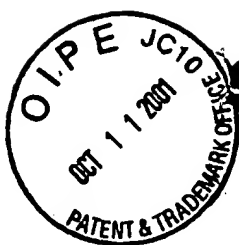
An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$116.
 - \$36 for 2 total claims over 20.
 - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 956.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



sector #
Bk 3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Rangaswamy et al.
Serial No.: 09/880,693
For: METHOD AND SYSTEM FOR ONLINE BENCHMARKING AND
COMPARATIVE ANALYSES
Filed: June 13, 2001
Examiner: Not Yet Assigned
Art Unit: 2163
Attorney Docket: 823.0058USU
Confirmation No.: 4299

**BOX MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231**

Sir:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND
PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

Transmitted herewith are the following documents pertaining to the above-identified application:

- XXX** Declaration and Power of Attorney form executed by **Arvind Rangaswamy and Eric Fedok**;
- ___ Declaration Claiming Small Entity Status (Small Business Concern);
- ___ ___ Sheets of Formal Drawings;
- ___ An Assignment of the Invention to : **The Penn State Research Foundation**, including \$40.00 recordation fee and Assignment Recordation Cover Sheet;
- XXX** The undersigned attorney has verified that the applicant is entitled to a Small Entity Status;
- ___ A Certified Copy of the Priority Application;
- ___ Information Disclosure Statement (Form - 1449); and
- XXX** A copy of the Notice to File Missing Parts of Application Filing Date Granted.
- ___ Petition and Fee for Extension of Time (37 CFR 1.136(A)).

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$710.00
Total Claims	22 - 20 =	2	x \$18.00	\$36.00
Independent Claims	4 - 3 =	1	x \$80.00	\$80.00
Multiple Dependent Claim Fee		x \$270.00 = \$0.00		
TOTAL FILING FEE		\$826.00		

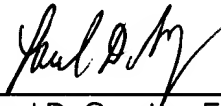
1/2 FILING FEE FOR SMALL ENTITY	\$413.00
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XXX A check in the amount of \$ **478.00** to cover the filing fee (small entity - \$413.00) and surcharge (small entity - \$65.00) is enclosed.

XXX The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

October 9, 2001

Date


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CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: BOX MISSING PARTS, COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON OCTOBER 9, 2001.

Jeffrey J. Scepanski
NAME


SIGNATURE

10/09/01
DATE